



Foreign Source Contract (Section 117) Reporting Form

This form has been established to facilitate compliance with FDU's Section 117 Reporting Policy.

Requesting Unit: _____

Point of Contact: _____

POC Phone: _____

POC email: _____

1. Full name of foreign source (no acronyms): _____

Are there any previous names that this source used in the past? _____

2. Type of foreign source (check all applicable categories):

- ☐ (A) a foreign government, including an agency of a foreign government
- ☐ (B) a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states
- ☐ (C) an individual who is not a citizen or a national of the United States or a trust territory or protectorate thereof
- ☐ (D) an agent, including a subsidiary or affiliate of a foreign legal entity, acting on behalf of a foreign source

3. Full address of the foreign source: _____

4. Effective date of Contract: _____

5. Expiration date of Contract: _____

6. Full name of individual signing on behalf of the foreign source: _____

7. Is the foreign source acting on behalf of another entity or person? Yes _____ No _____

If yes, provide explanation with name and address of the principle party

8. Does the proposed contract contain any provisions regarding (check all that apply):

- ☐ (A) the employment, assignment, or termination of faculty
- ☐ (B) the establishment of departments, centers, research or lecture programs, or new faculty positions
- ☐ (C) the selection or admission of students
- ☐ (D) the award of grants, loans, scholarships, fellowships, or other forms of financial aid restricted to students of a specified country, religion, sex, ethnic origin, or political opinion

*Note: Any contract that contains provisions regarding the award of any benefit based in whole or in part on religion, sex, ethnic origin, or political opinion may be unlawful and should be reviewed with the General Counsel in advance of any progress on the proposed contract.

9. If any of the provisions in question 8 are included in the draft contract, provide a detailed description for each of the conditions and restrictions indicated:



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10. What is the value of the contract to be paid to FDU over its entire projected duration?: _____

**Note: where a contract does not contain a set amount, the requesting POC should contact FDU's Controller to advise on how to reasonably set a value for the contract. Their calculations and method should be added to the contract file, as described below.*

11. Is this amount paid directly to FDU or through an intermediary acting for FDU? _____

If using an intermediary, what is that entity's full name? _____

12. I confirm that the names of the entity, signing party, and where applicable, principal have been cleared through U.S. restricted parties lists. ☐ I confirm the above

The list is available at: trade.gov/data-visualization/csl-search

Searches should be run both with "Fuzzy Name" off and on. The "Sources" field should be left blank.

Results should be printed and attached to this form

*Check with the University Director of Global Education regarding whether the requesting unit should receive training on U.S. export and trade controls. Also, if the foreign source is based in China, North Korea, the Russian Federation, or Iran, contact the Associate Provost for Research and Sponsored Projects.

Please send the responses to this form and all associated materials (including the contract and CRF) to Contract Review at contractreview@fdu.edu